

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: COOL-01400

Serial No.: 10/612,241

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Separate Sheets If Necessary)

Applicants: James Lovette et al.

Filing Date: July 1, 2003

Group Art Unit: 3743

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
TD	AA	5,179,500	01/12/93	Koubek et al.	361	385	04/02/91
	AB						
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	BD						
	BE						
	BF						
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	BH						

Examiner:

Theresa Dwyer

Date Considered:

3/31/05

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention

MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS

Application Number : 10/612241



Confirmation Number: 3319

First Named Applicant: James Lovette

Attorney Docket Number:

Art Unit:

Examiner:

Search string: (5043797 or 5490117 or 5740013 or 5763951 or 5768104 or 5921087 or 6366467 or 6459581 or 6600220 or 6743664 or 20020121105 or 20030121274 or 20040040695 or 20040052049 or 20040089008 or 20040125561 or 20040160741 or 20040188069).pn

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

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10	1	5043797	1991-08-27	Lopes			
10	2	5490117	1996-02-06	Oda et al.			
	3	5740013	1998-04-14	Roesner et al.			
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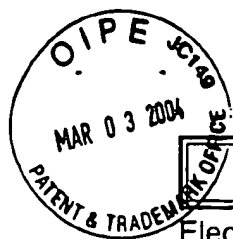
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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
TD	1	20020121105	2002-09-05	McCarthy, Jr. et al.	A1		
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Signature

Examiner Name	Date
<i>Thomson</i>	3/31/05



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Title of
Invention

MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS

Application Number: 10/612241



Confirmation Number: 3319

First Named Applicant: James Lovette

Attorney Docket Number:

Search string: (3817321 or 4109707 or 4312012 or 4450472
or 4485429 or 4516632 or 4561040 or 4567505
or 4573067 or 4758926 or 4866570 or 4868712
or 4894709 or 4896719 or 4908112 or 4938280
or 5009760 or 5016138 or 5057908 or 5070040
or 5083194 or 5088005 or 5099311 or 5099910
or 5125451 or 5131233 or 5203401 or 5218515
or 5232047 or 5239200 or 5263251 or 5274920
or 5309319 or 5317805 or 5325265 or 5380956
or 5383340 or 5427174 or 5436793 or 5459099
or 5508234 or 5514832 or 5514906 or 5544696
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or 5692558 or 5696405).pn.

US Patent Documents

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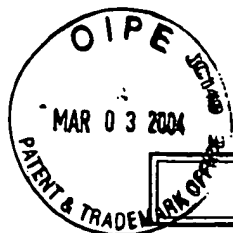
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TD	1	3817321	1974-06-18	von Cube et al.			
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TD	3	4312012	1982-01-19	Frieser et al.			
TD	4	4450472	1984-05-22	Tuckerman et al.			
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TD	6	4516632	1985-05-14	Swift et al.			
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TD	50	5696405	1997-12-09	Weld

Signature

Examiner Name	Date
<i>Chen Dwy</i>	3/31/05



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

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MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS

Application Number: 10/612241



Confirmation Number: 3319

First Named Applicant: James Lovette

Attorney Docket Number:

Search string: (6443222 or 6444461 or 6457515 or 6537437
or 6543521 or 6553253 or 6588498 or 6591625
or 6632655 or 20010016985 or 20010024820 or
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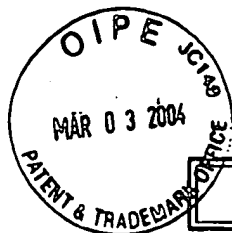
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TD	6	6553253	2003-04-22	Chang	B1		
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TD	8	6591625	2003-07-15	Simon	B1		
TD	9	6632655	2003-10-14	Mehta et al.	B1		

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Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
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TD	2	20010024820	2001-09-27	Mastromatteo et al.	A1		
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Thermon			3/31/05		



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Title of Invention	MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS																																																																																																						
<p>Application Number: 10/612241</p> <p>Confirmation Number: 3319</p> <p>First Named Applicant: James Lovette</p> <p>Attorney Docket Number:</p> <p>Search string: (5727618 or 5774779 or 5800690 or 5801442 or 5835345 or 5858188 or 5863708 or 5870823 or 5880524 or 5874795 or 5901037 or 5936192 or 5964092 or 5965001 or 5997713 or 5998240 or 6007309 or 6054034 or 6068752 or 6090251 or 6096656 or 6100541 or 6101715 or 6126723 or 6129145 or 6131650 or 6146103 or 6159353 or 6174675 or 6176962 or 6186660 or 6210986 or 6216343 or 6221226 or 6234240 or 6238538 or 6301109 or 6313992 or 6317326 or 6321791 or 6322753 or 6324058 or 6337794 or 6351384 or 6415860 or 6417060 or 6388317 or 6400012 or 6406605 or 6424531).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td>TD</td><td>1</td><td>5727618</td><td>1998-03-17</td><td>Munding et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>2</td><td>5774779</td><td>1998-06-30</td><td>Tuchinskiy</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>3</td><td>5800690</td><td>1998-09-01</td><td>Chow et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>4</td><td>5801442</td><td>1998-09-01</td><td>Hamilton et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>5</td><td>5835345</td><td>1998-11-10</td><td>Staskus et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>6</td><td>5858188</td><td>1999-01-12</td><td>Soane et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>7</td><td>5863708</td><td>1999-01-26</td><td>Zanzucchi et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>8</td><td>5870823</td><td>1999-02-16</td><td>Bezama et al.</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>9</td><td>5880524</td><td>1999-03-09</td><td>Xie</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>10</td><td>5874795</td><td>1999-02-23</td><td>Sakamoto</td><td></td><td></td><td></td></tr><tr><td>TD</td><td>11</td><td>5901037</td><td>1999-05-04</td><td>Hamilton et al.</td><td></td><td></td><td></td></tr></tbody></table>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	TD	1	5727618	1998-03-17	Munding et al.				TD	2	5774779	1998-06-30	Tuchinskiy				TD	3	5800690	1998-09-01	Chow et al.				TD	4	5801442	1998-09-01	Hamilton et al.				TD	5	5835345	1998-11-10	Staskus et al.				TD	6	5858188	1999-01-12	Soane et al.				TD	7	5863708	1999-01-26	Zanzucchi et al.				TD	8	5870823	1999-02-16	Bezama et al.				TD	9	5880524	1999-03-09	Xie				TD	10	5874795	1999-02-23	Sakamoto				TD	11	5901037	1999-05-04	Hamilton et al.			
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13	5964092	1999-10-12	Tozuka et al.	
14	5965001	1999-10-12	Chow et al.	
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31	6186660	2001-02-13	Kopf-Sill et al.	B1
32	6210986	2001-04-03	Arnold et al.	B1
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36	6238538	2001-05-29	Parce et al.	B1
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38	6313992	2001-11-06	Hildebrandt	B1
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40	6321791	2001-11-27	Chow	B1
41	6322753	2001-11-27	Lindberg et al.	B1
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TD	49	6406605	2002-06-18	Moles	B1
TD	50	6424531	2002-07-23	Bhatti et al.	B1

Signature

Examiner Name	Date
<i>Sharon Dwyer</i>	3/31/03



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TD	15	6330907	2001-12-18	Ogushi et al.	B1																																																																																																																												



TD	16	6336497	2002-01-08	Lin	B1
TD	17	6366462	2002-04-02	Chu et al.	B1
TD	18	6367544	2002-04-09	Calaman	B1
TD	19	6431260	2002-08-13	Agonafer et al.	B1
TD	20	6466442	2002-10-15	Lin	B2
TD	21	6519151	2003-02-11	Chu et al.	B2
TD	22	6533029	2003-03-18	Phillips	B1
TD	23	6536516	2003-03-25	Davies et al.	B2
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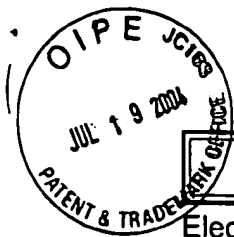
US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
TD	1	20030213580	2003-11-20	Philpott et al.	A1		

Signature

Examiner Name	Date
<i>Thammy</i>	3/31/05



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of
Invention

MULTI-LEVEL MICROCHANNEL HEAT EXCHANGERS

Application Number: 10/612241



Confirmation Number: 3319

First Named Applicant: James Lovette

Attorney Docket Number:

Search string: (3948316 or 5161089 or 5228502 or 5239443
or 5265670 or 5978220 or 5993750 or
6729383).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
TD	1	3948316	1976-04-06	Souriau			
TD	2	5161089	1992-11-03	Chu et al.			
TD	3	5228502	1993-07-20	Chu et al.			
TD	4	5239443	1993-08-24	Fahey et al.			
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TD	6	5978220	1999-11-02	Frey et al.			
TD	7	5993750	1999-11-30	Ghosh et al.			
TD	8	6729383	2004-05-04	Cannell et al.	B1		

Jul 19 2004

Signature

Examiner Name	Date
<i>Thermon</i>	3/3/05